
Supplier Self-Assessment

Company:

Recipient:

BJB GmbH & Co. KG

Werler Str. 1

59755 Arnsberg

Germany

www.bjb.com

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Dear Sir or Madam,

you are requested to complete the following questionnaire in order to evaluate you as a potential supplier or to update our records as appropriate. This Self-Assessment Questionnaire is intended to enable suppliers to provide information regarding their management systems and practices. Please attach relevant comments or documents as required. All questionnaire responses will be securely maintained and will be accessible for future review and updating. Suppliers will be able to revise their responses as their practices and procedures evolve.

1 Basic company (SUPPLIER) information

Company name:

Website:

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Company address:

Founded:

UST-ID-NR. / VAT ID number:

Handelregister / Commercial register:

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Group affiliation:

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Subsidiaries:

Trade agencies:

1.1 Please indicate the ownership of your company

privately owned		publicly traded		government owned	
shareholder		other:			

1.2 Turnover (total turnover / export amount / investment volume)

current year

current year -1

current year -2

Total turnover			
Export amount			
Investment volume			
Net profit/loss			

1.3 Currency

USD \$		EUR €		Others:
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1.4 Contact details

Person Name

Phone

E-Mail

R&D (Research & Development)			
Sales (responsible for BJB)			
Quality management			
Quality Control			
Logistic			
Customer complaints			
Production			
Environment			
Human Resources			

1.5 Major customers

1 st
2 nd
3 rd
4 th
5 th

1.6 Major suppliers of goods supplied or used for BJB

1 st
2 nd
3 rd
4 th
5 th

1.7 What is the principal business of your company? (Check all that apply)

final assembly	<input type="checkbox"/>	component manufacturing	<input type="checkbox"/>	plating, painting or stamping	<input type="checkbox"/>	printed circuit boards	<input type="checkbox"/>
recycling or disposal	<input type="checkbox"/>	distribution	<input type="checkbox"/>	raw material extraction	<input type="checkbox"/>	service	<input type="checkbox"/>
other:	<input type="checkbox"/>						

1.8 Procurement Program BJB (Check all that apply):

Standard thermoplastics	<input type="checkbox"/>	Occupational health and safety supplies	<input type="checkbox"/>	Cables	<input type="checkbox"/>
Technical thermoplastics	<input type="checkbox"/>	Ceramics	<input type="checkbox"/>	Seals	<input type="checkbox"/>
Ferrous and non-ferrous metal strips	<input type="checkbox"/>	Standard components Workshop supplies	<input type="checkbox"/>	Electric components	<input type="checkbox"/>
Bulbs / Halogen bulbs	<input type="checkbox"/>	Logistics services	<input type="checkbox"/>	Office materials	<input type="checkbox"/>
LED Chips / LED Light sources	<input type="checkbox"/>	Miscellaneous	<input type="checkbox"/>	Packaging foil	<input type="checkbox"/>
LED peripherals	<input type="checkbox"/>	Metal moldings	<input type="checkbox"/>	Cardboard containers (corrugated cardboard) + partitions	<input type="checkbox"/>
Glass	<input type="checkbox"/>	Plastic moldings	<input type="checkbox"/>	Manufacturing supplies	<input type="checkbox"/>
Pallets	<input type="checkbox"/>	Styrofoam	<input type="checkbox"/>		
other:	<input type="checkbox"/>				

1.9 Please list the countries where your company has got operating and manufacturing facilities (Country and number of employees):

1.10 Does your company already supply BJB or connected companies (subsidiaries, joint ventures)?

Yes ☐ No ☐

If YES, where and since when?

ONLY FOR CHINESE companies!

1.11 Does your company have an Export License?

Yes ☐ No ☐

Does it include Europe and USA?

USA ☐ Europe ☐

1.12 Number of employees

Total		Purchasing	
Production		R&D	
Engineering		Sales	
Q.A.		HR	

1.13 Checkmark the quality system, regulation or guideline for which your company has a registration (please attach documents):

System / Certification valid until (dd.mm.yyyy) Guideline / Regulation

ISO 9001		IPC-A-610	
ISO/TS 16949		RoHS	
IATF 160949		REACH	
VDA 6.1		Energy Audit acc. DIN EN ISO 50001 (Level)	
CECED Code Of Conduct		Conflict materials	
ISO 14001		ISO 26000	
Old OHSAS 18001 or new ISO 45001		EMAS (EG Nr. 1221/2009)	
ISO/IEC 27001		ISO 50001	
Ecovadis			

1.14 Are you familiar with the US customs C-TPAT?

Yes ☐ No ☐

If YES, are you certified?

Yes ☐ No ☐

1.15 Which kind of manufacturing do you have?

Large batch from _____ pieces to _____ pieces

Small batch from _____ pieces to _____ pieces

Piece production

1.16 Traceability system

Do you guarantee traceability to the... Yes ☐ No ☐

- Supplier ☐
- Pre-material ☐
- Production date ☐
- Production line ☐
- Work station ☐

If YES, please describe your traceability system!

If not, Date (dd.mm.yyyy)
 planned till _____

1.17 Which CA-technologies / systems do you use?

CAD/DTP (Construction & Documentation)	<input style="width: 30px; height: 20px;" type="checkbox"/>
CAP (Technical work planning & preparation)	<input style="width: 30px; height: 20px;" type="checkbox"/>
CAM (Manufacturing)	<input style="width: 30px; height: 20px;" type="checkbox"/>
CAE (Analysis & Optimization)	<input style="width: 30px; height: 20px;" type="checkbox"/>
CAQ (Quality assurance)	<input style="width: 30px; height: 20px;" type="checkbox"/>

1.18 Which CAD-Software is applied and which interfaces exist?

1.19 Risk Management

Do you have an Early warning system? Yes ☐ No ☐

If YES, how is it organized in case of e.g. technical problems or the delivery deadline?

Do you use one of the following methods to identify and / or to analyze e.g. transport-, quality-, production- and procurement risks?

FMEA (Failure Mode and Effects Analysis) <input style="width: 30px; height: 20px;" type="checkbox"/>	FTA (Fault Tree Analysis) <input style="width: 30px; height: 20px;" type="checkbox"/>
Ishikawa or fishbone diagram <input style="width: 30px; height: 20px;" type="checkbox"/>	ETA (Event Tree Analysis) <input style="width: 30px; height: 20px;" type="checkbox"/>
Past consideration (supplier evaluation, audits / revisions) <input style="width: 30px; height: 20px;" type="checkbox"/>	

If it is none of these, please describe your methods!

1.20 Do you agree with the fact that an assistant of our quality management together with an assistant of our purchasing department execute a quality audit?

Yes ☐ No ☐

1.21 Do you accept our General Terms and Conditions of Purchase (www.bjb.com)?

Yes ☐ No ☐

1.22 Do you accept BJB SUPPLIER Code of Conduct in German: (Verhaltenskodex für Lieferanten)?

Yes ☐ No ☐

1.23 On which trade fairs do you participate?

Name	Date

1.24 Are you able to correspond in English language? (A=Basic User; B=Independent User; C=Proficient User)

Language level A ☐ B ☐ C ☐

Writing level A ☐ B ☐ C ☐

2 Corporate Social Responsibility (CSR)

2.1 Does your company have a management person responsible for Corporate Social Responsibility (CSR)?

☐ Yes: If yes: Please provide responsible contact person

Name: _____

Email: _____

Job title: _____

☐ No

Background:

CSR relates to practices that contribute to the quality of life of both employees and communities that could be impacted by the company's operations. Companies should respect the human rights of workers, and treat all people with dignity as recognized by the international community. Examples of social topics to address include nondiscrimination, freedom of association, health and safety, etc.

2.2 Does your company have a management person responsible for compliance?

☐ Yes: If yes: Please provide responsible contact person

Name: _____

Email: _____

Job title: _____

☐ No

Background:

Compliance relates to the principles that guide business conduct in its relations towards its business partners and customers. Companies are expected to uphold the highest standards of integrity and to operate honestly and equitably throughout the supply chain in accordance with local laws. Examples of unethical business practice include corruption, unfair competition, conflicts of interest, etc.

2.3 Does your company have a management person responsible for Environmental Sustainability ?

☐ Yes: If yes: Please provide responsible contact person

Name: _____

Email: _____

Job title: _____

☐ No

Background:

Environmental sustainability relates to practices that contribute to the quality of the environment on a long-term basis. Companies are expected to support a proactive approach to environmental responsibility by protecting the environment, conserving natural resources and reducing the environmental footprint of their production, products and services throughout their life-cycle. Examples of company practices include greenhouse gas emissions, waste reduction program, etc

2.4 Does your company have a Code of Conduct?

☐ Yes: If yes: Please provide relevant document or link to this document by mail.

☐ No

Background:

A Code of Conduct is a set of rules outlining the responsibilities or proper practice for an individual (employee) and organization. It could cover social, ethical and environmental aspects.

2.5 Does your company provide a grievance mechanism through which impacted stakeholders and rights holders can raise complains and seek to have them addressed with the enterprise?

☐ Yes: Yes, we have a grievance mechanism, which

is available for our employees as well as for external stakeholders (e.g. suppliers, communities) and our whole supply chain

If yes: Please share relevant contact details of your external stakeholder:

Name: _____
Job Title: _____
Email: _____
Telefon: _____
Website: _____

☐

Yes

Yes, we have an internal grievance mechanism, which is available only for the employees of our company
Please upload relevant document

☐

No

Background:

According to the UN “Protect, Respect and Remedy” Framework, companies are responsible to respect human rights and are expected to provide a remedy if their operations caused or contributed to adverse human rights impacts. Operational-level grievance mechanisms for those potentially impacted by enterprises’ activities are recommended as an effective process through which companies can enable remediation.

2.6 Does your company have a CSR Strategy ?

☐

Yes:

If yes: Please upload relevant document
If yes: does your company report results of CSR ?

If yes to which organization?:

Contact person: _____
Email: _____
Telefon: _____
Website: _____

☐

No

2.7 Is your company member of UN Global Compact?

☐ Yes: If yes: Please share relevant link (progress report) _____

☐ No

Background:

CSR – Corporate Social Responsibility describes the social responsibility for sustainable development of a company. A characteristic feature is the voluntary basis of the approach, which thus goes beyond what is required by law. CSR is also used in the CSRD as an abbreviation for the Corporate Sustainability Reporting Directive.

3 Working conditions and human rights

3.1 Does your company have a formal policy covering working conditions and human rights?

☐ Yes: If yes: Please upload relevant document
Document Name:
Document Page:

☐ No

Background:

A company policy refers to a business approach to a given issue and contains general principles and/or practical how-to-do items. A policy may include components such as prohibited behaviors, rights, and dispute procedures. Social issues could be contained in the company's CSR policy, HR policy, Human Rights policy, etc. The list here presented refers to the Global Automotive Sustainability Guiding Principles. Human rights are the rights we are entitled to simply because we are human beings. They represent the universally agreed minimum conditions that enable all people to maintain their dignity. Human rights are inherent to all of us, whatever our nationality, place of residence, sex, national or ethnic origin, color, religion, or any other status.

Source: Universal Declaration of Human Rights

3.2 If answered "Yes" to Q 2.1 which of the following areas are covered by this policy?

<input type="checkbox"/>	Child labor and young workers
<input type="checkbox"/>	Wages and benefits
<input type="checkbox"/>	Working hours
<input type="checkbox"/>	Modern slavery (i.e. slavery, servitude and forced or compulsory labor and human trafficking)
<input type="checkbox"/>	Freedom of association and collective
<input type="checkbox"/>	Bargaining
<input type="checkbox"/>	Harassment and non-discrimination

Background information

According to the UN Guiding Principles on Business and Human Rights, which were endorsed by the Human Rights Council resolution 17/4 in 2011, every company has to respect human rights and is responsible to do no harm to people. Child labor and young workers relate to the prohibition of employment of children who are under the legal minimum working age. Moreover, suppliers are expected to ensure that legally young workers that are under 18 years of age do not work at night or overtime and are protected against conditions of work which are harmful for their health, safety or development consistent with ILO Minimum Age Convention No. 138. ILO 138 light work consistent (article 6,7).

The supplier should ensure that the duty of young workers doesn't interfere with their school attendance. Young workers daily total duty time and schooling shall not exceed 10 hours.

Source: EU Charter of Fundamental Rights and ILO

Wages and benefits relate to the basic or minimum wage or salary and any additional entitlements payable directly or indirectly, in cash or in kind, by the employer to the worker and arising out of the worker's employment. Examples include paid sick days, family and medical leave, paid overtime, etc.

Source: ILO-UNGC Working hours relate to regular workweeks that should not exceed 48 hours.

A workweek shall be restricted to 60 hours in emergency situations, including overtime. All overtime shall be voluntary. Employees should have a minimum of one day-off every seven days. Laws and regulations on the maximum number of working hours and time off shall be respected.

Source: Ethical Trading Initiative, based on ILO conventions Modern slavery refers to all work or service exacted from any person under the menace of any penalty and for which that person has not offered himself/herself voluntarily.

Examples include forced overtime, retention of identity documents, as well as human trafficking. Modern slavery - is subject to the Modern Slavery Act 2015 by the Parliament of the United Kingdom. This Act creates for companies who meet identified criteria an obligation to publish a "slavery and human trafficking statement" every year six months after the end of the company's financial year.

Source: International Labour Organization (ILO) and The National Archives UK

Freedom of association relates to the right to freedom of

peaceful assembly and to freedom of association at all levels, in particular in political, trade union and civic matters, which implies the right of everyone to form and to join trade unions for the protection of

his or her interests. This includes also collective bargaining, as a process of negotiations between employers and a group of employees aimed at reaching an agreement that regulates working conditions.

Harassment is defined as a harsh and inhumane treatment - or the threat of such a treatment - including any sexual harassment, sexual abuse, corporal punishment, mental or physical coercion or verbal abuse of workers. Source: Global Automotive Sustainability Practical Guidance Non-discrimination is a principle that requires the equal treatment of an individual or group irrespective of their particular characteristics, including sex, race, color, ethnic or social origin, genetic features, language, religion or belief, political or any other opinion, membership of a national minority, property, birth, disability, age or sexual orientation.

Source: EU Charter of Fundamental Rights

3.3 Uyghur Forced Labor Prevention Act (UFLPA)

3.3.1 Is your company aware and compliant to the UFLPA going into effect on June 21, 2022?

☐ Yes Yes,
all components sold to BJB, such as raw
materials, supplies, packaging, or wages,
comply with ULFPA.

☐ No

3.3.2 Has your company researched your entire supply chain to determine if any of your products, components or raw materials are going through Xinjiang for processing, assembly or packaging?

☐ Yes:

☐ No

Health and Safety:

3.4 Does your company have a formal written health and safety policy, which complies with local law, industry requirements and international standards?

☐ Yes: If yes: Please upload relevant document

☐ No

If yes: which of the following areas are covered by this policy?

<input type="checkbox"/>	Personal protective equipment
<input type="checkbox"/>	Machine safety
<input type="checkbox"/>	Emergency preparedness
<input type="checkbox"/>	Incident and accident management
<input type="checkbox"/>	Workplace ergonomics
<input type="checkbox"/>	Handling of chemicals
<input type="checkbox"/>	Fire protection
<input type="checkbox"/>	Others _____

Background:

Health and safety refers to the science of the anticipation, recognition, evaluation and control of hazards arising in or from the workplace that could impair the health and wellbeing of workers, taking into account the possible impact on the surrounding communities and the general environment.

Source: ILO Examples of specific activities on Health and Safety include: > Training on the use of personal protective equipment > Training on company Health and Safety policy > Work environment inspections > Training on work with hazardous materials > Distribution of educational materials on health and safety procedures > Information campaign for workers on health and safety procedures specific to the site

Health and safety policy must be compliant with legal requirements and should highlight the commitment of management and employees to a healthy and safe workplace with a goal of 'zero accidents'. It is the responsibility of management to provide sufficient resources and organization for health and safety and to do regular risk assessment and reporting in order to ensure continuous improvement of the system.

4 Business Ethics

4.1 Does your company have a formal policy covering business ethics?

☐ Yes: If yes: Please upload relevant document

☐ No

Background:

Business Conduct and Compliance Policy and principles apply to employees, independent contractors, consultants, and others with whom business has been done. Formal policy on business conduct and compliance helps drive business ethically, honestly, and in full compliance with all laws and regulations. Those principles should apply to every business

decision in every area of the company (worldwide). The list here presented refers to the Global Automotive

Sustainability Guiding Principles

Corruption can take many forms that vary in degree from the minor use of influence to institutionalized bribery. It is defined as the abuse of entrusted power for private gain. This can mean not only financial gain but also non-financial advantages. Source: UN Global Compact and Transparency International
Extortion: solicitation of bribes is the act of asking or enticing

another to commit bribery. It becomes extortion when this demand is accompanied by threats that endanger the personal integrity or the life of the private actors involved. Source: UN Global Compact and OECD Guidelines for Multinational Enterprises Bribery is an offer or receipt of any gift, loan, fee, reward or other advantage to or from any person as an inducement to do something which is dishonest, illegal or a breach of trust, in the conduct of the enterprise's business.

Source: UN Global Compact and Transparency International

If Yes: Which of the following areas are covered by this policy?

- ☐ Corruption, extortion and bribery
- ☐ Privacy
- ☐ Financial responsibility (accurate records)
- ☐ Disclosure of information
- ☐ Fair competition and anti-trust
- ☐ Conflicts of interest
- ☐ Counterfeit parts
- ☐ Intellectual property
- ☐ Export controls and economic sanctions
- ☐ Whistleblowing and protection against retaliation

5 Environment

5.1 Does your company have a formal environmental policy, which includes a commitment to legal compliance, continuous measurement and continuous improvements in environmental performance?

☐ Yes: If yes: Please upload relevant document

☐ No

If Yes:

Which of the following areas are covered by this policy?

- ☐ Energy efficiency
- ☐ Renewable energy
- ☐ Water quality and consumption
- ☐ Air quality
- ☐ Sustainable resources management
- ☐ and waste reduction
- ☐ Responsible chemical management
- ☐ Other areas (please specify)

Background:

An environmental policy shows the company's overall intentions and direction related to its environmental performance. It reflects the company's commitment and is formally expressed by top management. It provides a framework for action, setting environmental objectives which take into account applicable legal and other requirements and the company's environmental impact of its operations, products and services, with the purpose of decreasing the environmental impact, saving resources and costs.

5.2 Does your company have an environmental management system in place?

☐ Yes: If yes: Please upload relevant document

☐ No

If yes: please list all certificates below:

Awarding body				
---------------	--	--	--	--

Certificate number				
Valid until:				

Background:

An environmental management system enables a company, in a structured and preventive way, to work with its environmental performance and improve its environmental impact from its operations, products and services. Examples include: developing a policy/ directions; establishing

objectives; subscribing to legal and other requirements; risk management; implementing working procedures to achieve continuous improvements to support environmental protection; and reducing or preventing pollution. Examples of relevant globally accepted standards and

certification include:

- > ISO14001:2015 EMS
- > ISO14064 GHG
- > PAS 2060 Carbon neutrality
- > BS/EN/ISO14006:2011/14004:2010/14001:2004 Environmental management systems
- > BS8555 Certification: Implementation of environmental management systems
- > PAS2050 Carbon footprint
- > EU Eco-Management and Audit Scheme (EMAS)
- > Others: _____

Environmental audits enable an organization to assess and demonstrate its compliance to legislation; environmental performance; and the benefits and limitations of its environmental policy. It is a way of measuring the extent to which a company lives up to the shared values and objectives

it has committed itself to. Environmental audits can be conducted internally or by an external body that issues a certificate.

5.3 Does your site use any substances with restrictions in production or operations? (RoHs and Reach)

☐ Yes: If yes: Please upload relevant document

☐ No

If yes, does your site have written procedures to manage substances with restrictions?

☐ Yes: If yes: Please upload relevant document

☐ No

Background:

Restrictions are a tool to protect human health and the environment from unacceptable risks posed by chemicals. Restrictions may limit or ban the manufacture, placing on the market or use of a substance. A restriction applies to any substance on its own, in a mixture or in an article, including those that do not require registration. It can also apply to imports. Examples of hazardous substances include - but not limited to: lead, AZO dyes, DMF, PAHs, Phthalates, PFOS, nickel release.

Source: European Chemicals Agency

Examples of regulations on restricted substances and chemical handling:

(1) REACH (Registration, Evaluation, Authorization,

and Restriction of Chemicals): REACH is a European Union Regulation addressing the production and use of chemical substances, and their potential impact on both human health and the environment. The regulation defines and includes substances, preparations and articles. Manufacturers and importers are required to gather information on the properties of their chemical

substances and to register the information in a central database run by the European Chemicals Agency.

(2) RoHS (Restriction of Hazardous Substances):

RoHS or the Restriction of the Use of Certain Hazardous Substances in Electrical and Electronic Equipment Directive (2011/65/EU) bans the placing on the EU market of new electrical and electronic equipment containing more than the agreed levels of lead, cadmium, mercury and other substances.

6 Supplier Management

6.1 Does your company have set **CSR** towards your whole supply chain?

☐ Yes: If yes: Please upload relevant document

☐ No

If yes: Which areas are covered by this set of requirements?

☐ Working conditions and human rights

☐ Child labour and young workers

<input type="checkbox"/>	Wages and benefits
<input type="checkbox"/>	Working hours
<input type="checkbox"/>	Modern slavery (i.e. slavery, servitude and forced or compulsory labour and human trafficking)
<input type="checkbox"/>	Freedom of association and collective bargaining
<input type="checkbox"/>	Harassment and non-discrimination
<input type="checkbox"/>	Health and safety
<input type="checkbox"/>	Business ethics
<hr/>	
<input type="checkbox"/>	Corruption, extortion and bribery
<input type="checkbox"/>	Privacy and data protection
<input type="checkbox"/>	Fair competition and anti-trust
<input type="checkbox"/>	Conflicts of interest
<input type="checkbox"/>	Whistleblowing and protection against retaliation
<input type="checkbox"/>	Environment
<input type="checkbox"/>	Emissions, energy efficiency and renewables
<input type="checkbox"/>	Water quality and consumption
<input type="checkbox"/>	Air quality

Background:

Examples of Supplier CSR/Sustainability Policy could be a specific Supplier Code of Conduct or a Company Conduct document which applies to both internal employees as well as external business partners, such as suppliers. The objective should be to promote healthy working conditions and environmental responsibility throughout the entire supply chain.

Upstream supplier management refers to enforcing sustainability requirements in the entire supply chain, i.e. making sure that suppliers also have established the requirements for their own suppliers.

6.2 Responsible Sourcing of Raw Materials

https://drivesustainability.org/wp-content/uploads/2018/07/Material-Change_VF.pdf

Are any of the following materials contained in your products which you are supplying to BJB ?
(Tick all that apply)

<input type="checkbox"/>	Aluminum/ Bauxite
<input type="checkbox"/>	Cobalt
<input type="checkbox"/>	Copper
<input type="checkbox"/>	Glass (silica sand)
<input type="checkbox"/>	Gold
<input type="checkbox"/>	Graphite (natural)
<input type="checkbox"/>	Molybdän
<input type="checkbox"/>	Lithium
<input type="checkbox"/>	Mica

<input type="checkbox"/>	Nickel
<input type="checkbox"/>	Palladium
<input type="checkbox"/>	Rare Earth Elements
<input type="checkbox"/>	Rubber (natural)
<input type="checkbox"/>	Steel/ Iron
<input type="checkbox"/>	Tantalum
<input type="checkbox"/>	Tin
<input type="checkbox"/>	Tungsten
<input type="checkbox"/>	Zinc
<input type="checkbox"/>	Quicksilver
<input type="checkbox"/>	None

If any raw material from the list above selected, does your company have a policy on the responsible sourcing of these raw materials?

☐ Yes: If yes please upload files

☐ No

Background:

Responsible Sourcing of Materials: Companies are expected to conduct due diligence to understand the source of the raw materials used in their products. Companies are expected to:

- > conduct due diligence to understand the source of the raw materials used in their products.
- > not knowingly provide products containing raw materials that contribute to human rights abuses, bribery and ethics violations, or negatively impact the environment.
- > use validated conflict free smelters and refiners for procurement of tin, tungsten, tantalum and gold contained in the products they produce Guidance which raw materials are contained in products can be found in IMDS. For more information on priority materials, producer countries, and associated environmental, social, and governance issue please refer to the Material Change report.

6.3 If one of this materials is marked, does your company have a company-scope Conflict Minerals Reporting Template (CMRT)?

☐ Yes: If yes: Please upload relevant document

☐ No

Background:

EU-Directive on Conflict Minerals. The Directive requires large public-interest entities with more than 500 employees to disclose in their annual report relevant information on: policies, outcomes and risks, including due diligence that they implement; relevant nonfinancial key performance indicators; environmental aspects, social and employee matters, respect for human rights, anticorruption and bribery issues.

6.4 Does your company or suppliers out of your supply chain produce or use POP-Chemicals for your production?

☐

Yes:

☐

No

Overview:

if yes: Which of below chemicals are used by your company?

- | | |
|--------------------------|--|
| <input type="checkbox"/> | Aldrin (CAS Nummer 309-00-2) |
| <input type="checkbox"/> | Alpha-Hexachlorcyclohexan (α -HCH) (CAS Nummer 319-84-6) |
| <input type="checkbox"/> | Beta-Hexachlorcyclohexan (β -HCH) (CAS Nummer 319-85-7) |
| <input type="checkbox"/> | Chlordan (CAS Nummer 57-74-9) |
| <input type="checkbox"/> | Chlordecon (CAS-Nr. 143-50-0 ,2001) |
| <input type="checkbox"/> | Decabromodiphenyl (CAS Nummer 1163-19-5) |
| <input type="checkbox"/> | Dichlorodiphenyltrichloroethane (DDT) (CAS-Nr. 50-29-3) |
| <input type="checkbox"/> | Dicofol (CAS Nummer 115-32-2) |
| <input type="checkbox"/> | Dieldrin (CAS Nummer 60-57-1) |
| <input type="checkbox"/> | Dioxine (PCDD/PCDF) u |
| <input type="checkbox"/> | Endosulfan (CAS Nummer 115-29-7, 959-98-8, 33213-65-9) |
| <input type="checkbox"/> | Endrin (CAS Nummer 72-20-8) |
| <input type="checkbox"/> | Heptabromodiphenyl ether (heptaBDE) (CAS Nummer 446255-22-7) |
| <input type="checkbox"/> | Heptachlor (CAS Nummer 76-44-8) |
| <input type="checkbox"/> | Hexabrombiphenylether (HBB) (CAS Nummer 36355-01-8) |
| <input type="checkbox"/> | HexaBromCycloDoDecan(HBCDD, CAS Nummer 3194-55-6) |
| <input type="checkbox"/> | Hexabromodiphenyl (hexaBDE) (CAS Nummer 68631-49-2) |
| <input type="checkbox"/> | Hexachlorbenzol (HCB) (CAS Nummer 118-74-1) |
| <input type="checkbox"/> | Hexachlorbutadien (HCBD) (CAS Nummer 87-68-3) |
| <input type="checkbox"/> | SCCP (engl. short-chained chlorinated paraffins (CAS-Nummern: 85535-84-8, 68920-70-7, 71011-12-6, 85536-22-7, 85681-73-8, 108171-26-2) |
| <input type="checkbox"/> | Lindan (CAS Nummer 58-89-9) also named as γ -Hexachlorcyclohexan (HCH)) |
| <input type="checkbox"/> | Mirex (CAS Nummer 2385-85-5) |
| <input type="checkbox"/> | Polycyclic Aromatic Hydrocarbon (is in more than 10 000 chemical connections) |
| <input type="checkbox"/> | Polybromierte Diphenylether (PBDE) |
| <input type="checkbox"/> | Pentabromodiphenylether (pentaBDE) (CAS Nummer 32534-81-9) |
| <input type="checkbox"/> | Pentachlorbenzol (PeCB) (CAS Nummer 608-93-5) |
| <input type="checkbox"/> | Pentachlorphenol (PCP) (CAS Nummer 87-86-5) |
| <input type="checkbox"/> | PerFluoroOctanoic Acid) (CAS Nummer 335-67-1) |

<input type="checkbox"/>	Perfluorooctansulfonsäure (PFOS) (CAS Nummer 1763-23-1)
<input type="checkbox"/>	Polychlorierte Naphthaline (PCN)
<input type="checkbox"/>	Polychlorierte Biphenyle (PCB)
<input type="checkbox"/>	Tetrabromdiphenylether (tetraBDE)
<input type="checkbox"/>	Toxaphen (CAS Nummer 8001-35-2)
<input type="checkbox"/>	Not listed? Please enter name and CAS number _____

6.5 if yes: Are you handling the POP chemicals regarding the Stockholm Convention regulation (EU) 2019/1021 of June 20, 2019 ?

☐ Yes

☐ No

Background

Persistent organic pollutants (POPs) are the subject of the Stockholm Convention on POPs and the POP Protocol under the United Nations Economic Commission for Europe (UNECE) Convention on Long-Range Transboundary Air Pollution (CLRTAP). Both conventions under international law have the overarching goal of reducing or eliminating the production, use and release of the substances as far as possible. The Stockholm Convention is implemented in Europe by Regulation (EU) 2019/1021 of June 20, 2019 (EU POP Regulation).

Overview link:

<https://eur-lex.europa.eu/legal-content/DE/TXT/PDF/?uri=CELEX:32019R1021&from=DE>

6.6 Does your company or suppliers import or use minerals or metal parts from following countries:

List of High Risk country's (Cahras List)

<input type="checkbox"/>	Afghanistan	<input type="checkbox"/>	Myanmar
<input type="checkbox"/>	Ägypten	<input type="checkbox"/>	Niger
<input type="checkbox"/>	Burkina Faso	<input type="checkbox"/>	Nigeria
<input type="checkbox"/>	Burundi	<input type="checkbox"/>	Pakistan
<input type="checkbox"/>	Demokratische Republik Kongo	<input type="checkbox"/>	Philippinen
<input type="checkbox"/>	Eritrea	<input type="checkbox"/>	Pakistan
<input type="checkbox"/>	Indien	<input type="checkbox"/>	Simbabwe
<input type="checkbox"/>	Jemen	<input type="checkbox"/>	Somalia
<input type="checkbox"/>	Kamerun	<input type="checkbox"/>	Südsudan
<input type="checkbox"/>	Kolumbien	<input type="checkbox"/>	Sudan
<input type="checkbox"/>	Libyen	<input type="checkbox"/>	Tschad

<input type="checkbox"/>	Mali
<input type="checkbox"/>	Mexiko
<input type="checkbox"/>	Mosambik
<input type="checkbox"/>	

<input type="checkbox"/>	Türkei
<input type="checkbox"/>	Ukraine
<input type="checkbox"/>	Venezuela
<input type="checkbox"/>	Zentralafrikanische Republik

If yes, how are you / they handling the minerals or metal parts? Please upload relevant documents and describe the process below

No

Place, Date _____, Signature _____

Name in capitals _____